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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
GROAT, et al. )  
Serial Number: 09/775,468 ) Art Unit: 2164  
Filed: February 5, 2001 ) Examiner: To be Assigned  
For: FINANCIAL STATUS DISPLAY SYSTEM )

Assistant Commissioner for Patents  
Washington, D.C. 20231

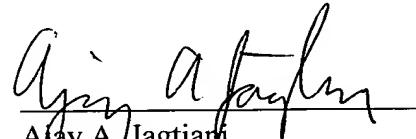
LETTER

Sir:

The below-identified communication(s) is (are) submitted in the above-captioned application or proceeding:

- Response to Notice to File Corrected Application Papers
- Copy of Notice
- Formal Drawings (6 sheets)
  
- The Commissioner is hereby authorized to charge payment of any fees associated with this communication, including fees under 37 C.F.R. §§ 1.16 and 1.17 or credit any overpayment to Deposit Account Number 10-0233, NETD-0106-1.

Respectfully submitted,

  
Ajay A. Jagtiani  
Registration Number 35,205

April 30, 2001

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(703) 591-2664

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

GROAT, *et al.*

**Serial Number: 09/775,468**

Filed: February 5, 2001

## For: FINANCIAL STATUS DISPLAY SYSTEM

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Sir:

This is in response to the Notice to File Corrected Application Papers dated March 14, 2001, the two-month period for response, which is set to expire May 14, 2001. The Notice to File Corrected Application Papers requires changes to the formal drawings in the above-identified application. (See attached copy of Notice to File Corrected Application Papers).

Applicants hereby submit the requested changes to the formal drawings pursuant to the Notice to File Corrected Application Papers dated March 14, 2001, requiring corrections to informalities to formal drawings for the above-identified application. Please substitute the six (6) attached formal drawings for originally filed drawing sheets 1 through 6, which comprise all of the originally filed drawing sheets. Applicants submit that these substitute drawings are in compliance with 37 CFR § 1.84.

An indication of the acceptance of these drawings is respectfully requested.

Respectfully submitted,

Mark J. Guttag  
Reg. No. 33,057

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April 30, 2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/775,468	02/05/2001	Robert Groat	NETD-0106-1

22506  
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CONFIRMATION NO. 1942

## FORMALITIES LETTER



\*OC000000005859826\*

Date Mailed: 03/14/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE